

# Notes

## Some Transformations of DL-Phenylalanine Ortho Esters and N-Benzyloxycarbonyl-L-phenylalaninal<sup>1</sup>

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Received March 29, 1976

Glycine ortho esters have found a wide use<sup>2</sup> in the synthesis of glycol derivatives of ribonucleosides,<sup>2a,b</sup> ribonucleotides,<sup>2c</sup> and ribooligonucleotides.<sup>2d-h</sup> The application of the ortho ester exchange method which provides the basis of the synthetic approach<sup>2a</sup> to the above mentioned compounds for amino acids other than glycine has been hampered for a long time by a lack of general method for the preparation of amino acid ortho esters.<sup>3</sup>

A brief report<sup>4</sup> describing a synthesis of some amino acid ortho esters has prompted us to investigate (a) N-protection of such derivatives, (b) synthesis of dipeptide ortho esters, and (c) synthesis of 2'(3')-O-aminoacyl ribonucleosides via the corresponding cyclic ortho ester derivatives. The results from all three areas are the subject of this communication. In addition, a facile preparation of N-benzyloxycarbonyl-L-phenylalaninal dimethyl acetal (17) as well as the reaction of aldehyde 16 with adenosine in the presence of ethyl orthoformate is also described.

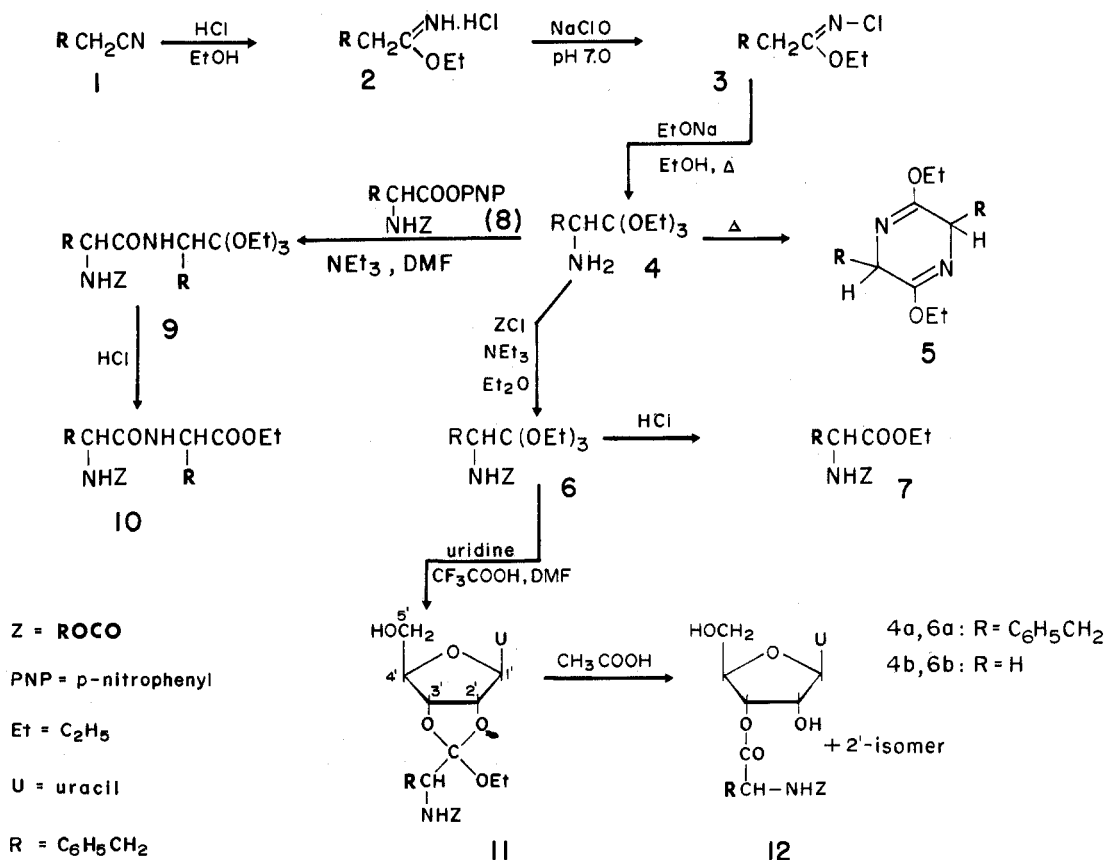
Ethyl DL-orthophenylalaninate (4a) was prepared as in-

dicated in Scheme I (1 → 2 → 3 → 4a) according to a procedure described briefly for some other amino acid ortho esters.<sup>4</sup> Hydrocinnamitrile (1) was converted in 98% yield to the corresponding imido ester hydrochloride<sup>5</sup> (2) which, in turn, was chlorinated<sup>6</sup> with aqueous NaClO at pH 7.0 to give an N-chloroimido ester (3) in 98% yield. The latter afforded the ortho ester 4a by heating with sodium ethylate in ethanol for 2 h at 80 °C in 99% yield. Thus, the overall yield (1 → 4a) was 95%. The structure of 4a was confirmed by ir which revealed a strong band at 1065 cm<sup>-1</sup> indicating C-O-C grouping but absence of ester. NMR indicated the undistilled 4a to be of a high (ca. 95%) purity. Distillation of 4a afforded an analytical sample but led to an extensive decomposition. From the higher boiling fraction, a pyrazine derivative 5 was obtained and characterized by ir and NMR spectra. This observation contradicts the claim of the Soviet literature<sup>7</sup> that amino acid ortho esters as free bases are "very stable compounds and do not change even on long heating".

The reaction of ortho ester 4a with benzyloxycarbonyl chloride in ether and in the presence of triethylamine gave ethyl N-benzyloxycarbonyl-DL-orthophenylalaninate (6a) in 66% yield. The same reaction was extended to the preparation of ethyl N-benzyloxycarbonylorthoglycinate<sup>2a</sup> (6b) from ortho ester<sup>4</sup> 4b in 38% yield.

The structure of 6a was confirmed by ir (urethane carbonyl band, strong C-O-C absorption) and NMR spectra. The latter showed the methyl protons of the ethoxy function as a sharp triplet; however, the signal for the methylene protons (quartet) was split (Figure 1), which was not the case in ethyl N-

Scheme I



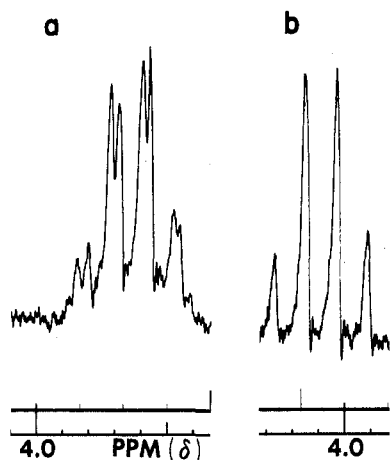
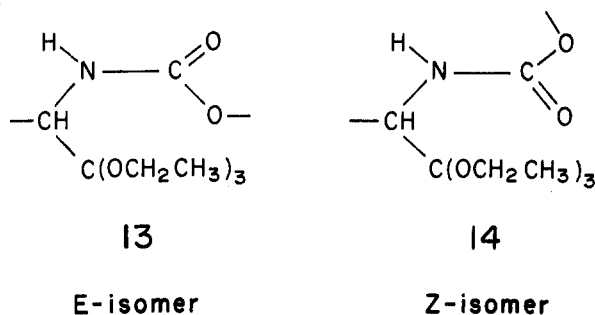


Figure 1. (a) Methylene proton signal of ethoxy group in ortho ester 6a; (b) methylene proton signal of ethoxy group in ester 7.

benzyloxycarbonylorthoglycinate (6b) or ethyl *N*-benzyloxycarbonyl-DL-phenylalaninate (7). The splitting pattern observed in our case (Figure 1) is also different from that described for some alicyclic ethyl esters.<sup>8,9</sup> The most likely explanation for the splitting is the assumption of *Z-E* isomerism of the urethane bond<sup>10</sup> (cf. formulas 13 and 14). Additional



confirmation for the structure of 6a derives from its hydrolysis with 10% HCl to the corresponding known<sup>11</sup> ester 7.

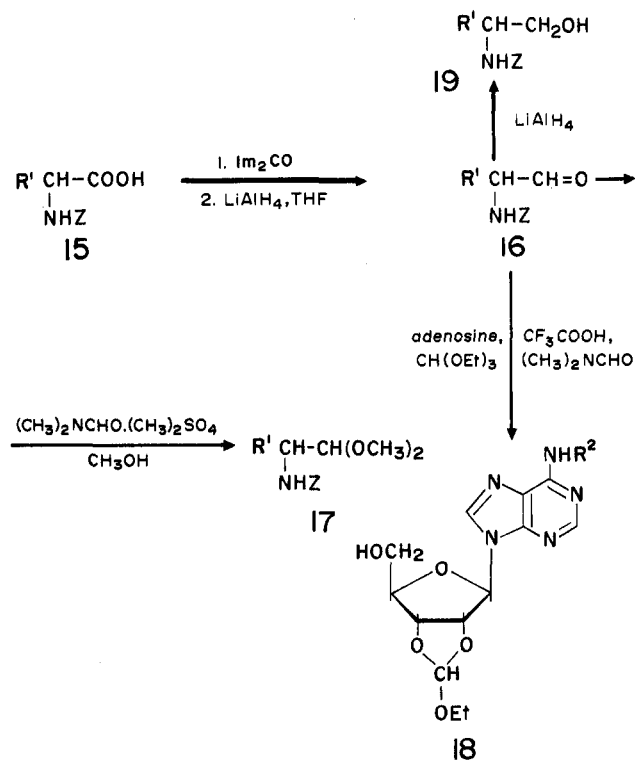
The condensation of ortho ester 4a with *N*-benzyloxycarbonyl-L-phenylalanine *p*-nitrophenyl ester<sup>12</sup> (8) in the presence of triethylamine in CH<sub>2</sub>Cl<sub>2</sub> gave the dipeptide ortho ester 9 in 63% yield. The latter was characterized by spectral (ir and NMR) data which confirmed the presence of urethane and amide groups in addition to ethoxy functions. Compound 9 was hydrolyzed with 10% HCl to the corresponding dipeptide ester 10 whose structure was corroborated by NMR spectrum. Again, a secondary splitting of the methylene protons of the ethoxy group in 9 was observed although the resolution was lower than in the case of ortho ester 6a. Thus, the situation is analogous to that noted with the urethane derivative 6a. In addition to *Z-E* isomerism of the urethane grouping, a possibility of a similar isomerism can readily be visualized for 9 in view of the fact that the partial double bond character of the peptide bond is well established.<sup>13</sup> However, this case is more complex because 9 is a mixture of two diastereoisomers.

It is also of interest to utilize *N*-benzyloxycarbonyl ortho ester 6a for the synthesis of 2'(3')-*O*-phenylalanylribonucleosides. As shown previously, an ortho ester exchange reaction of ethyl *N*-benzyloxycarbonylorthoglycinate (6b) with ribonucleosides or ribonucleotides can be readily accomplished in dimethylformamide (DMF) using CH<sub>3</sub>SO<sub>3</sub>H<sup>2a</sup> or CF<sub>3</sub>COOH<sup>2c</sup> as catalysts. In a model experiment with uridine (catalysis with CF<sub>3</sub>COOH), compound 6a afforded the expected 2',3'-cyclic ortho ester 11 in 51% yield. The starting ortho ester 6a is a racemate (DL mixture) and formation of the

2',3'-cyclic ortho ester creates a new asymmetric center at the "ortho ester" carbon which presents the possibility of four diastereoisomers for compound 11. TLC indicated, however, that such a mixture would be difficult to resolve. Compound 11 moved as a single spot.<sup>14</sup> Hydrolysis of 11 in dioxane-acetic acid-water (2:3:3) mixture gave 2'(3')-*O*-(*N*-benzyloxycarbonyl)-DL-phenylalanyluridine<sup>15</sup> (12) in 95% yield which had the same mobility on TLC as the corresponding L-phenylalanyl derivative.<sup>3a</sup>

In connection with our experiments on the ortho ester exchange of 6a with ribonucleosides, it was of interest to examine an analogous acetalation reaction of aldehyde 16. *N*-Benzyloxycarbonyl-L-phenylalanine (15) was converted to the corresponding imidazolide which was reduced in situ with LiAlH<sub>4</sub> to aldehyde 16 in 50% yield following the procedure described for *N*<sup>α</sup>-benzyloxycarbonyl-*N*<sup>ω</sup>-nitroargininal.<sup>16</sup> In addition, *N*-benzyloxycarbonyl-L-phenylalaninol (19)<sup>17,18</sup> was also obtained (23% yield) as the final reduction product of aldehyde 16 (Scheme II). The ir spectrum of 16 showed dis-

Scheme II



Im = imidazolyl

Z = R<sup>1</sup>OCO

Et = C<sub>2</sub>H<sub>5</sub>

R<sup>1</sup> = C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>

18a: R<sup>2</sup> = H

18b: R<sup>2</sup> =

tinctly separate aldehyde and urethane carbonyl bands. The assignments follow from the spectrum of acetal 17 which contains only a urethane carbonyl band. The NMR exhibited a typical low-field singlet of an aldehyde group. The fact that the optical rotation of 19 was slightly higher than that of an authentic sample<sup>17,18</sup> indicates that no racemization of the aldehyde 16 occurred during reaction with LiAlH<sub>4</sub>. However, after isolation (chromatography on silica gel<sup>19</sup>) the aldehyde was almost completely (93%) racemized<sup>19</sup> as shown by LiAlH<sub>4</sub> reduction to alcohol 19 and comparing its rotation with optically pure L-compound. The reaction of 16 with dimethylformamide-dimethyl sulfate complex and methanol following the procedure<sup>20</sup> for acetalation of simple aliphatic aldehydes gave the corresponding acetal 17 in 60% yield.<sup>21</sup> Both the ir

and NMR spectra of 17 lack the bands characteristic of an aldehyde. It is of interest to note that the NMR spectrum of 17 exhibits two separate signals (singlets) for acetal methoxy groups. This finding probably reflects *Z-E* isomerism of the urethane bond<sup>10</sup> (cf. formulas 13 and 14). A similar splitting of signals was observed with ortho ester 6a (see Figure 1).

Acetalation of adenosine with aldehyde 16 in the presence of ethyl orthoformate and trifluoroacetic acid as catalyst in DMF afforded only 2',3'-*O*-ethoxymethyladenosine<sup>22</sup> (18a) in 73% yield in addition to the N,O mixed acetal 18b obtained in 21% yield. The structure of the latter followed from analysis and uv max which is bathochromically shifted relative to 18a. NMR shows the presence of two phenyl groups and two ethoxy functions. The absence of a free amino group was confirmed by the failure of 18b to react with dimethylformamide dimethyl acetal.<sup>23,24</sup> In acid, compound 18b is hydrolyzed<sup>24</sup> to adenosine 2'(3')-formate and aldehyde 16.

It is of interest to note that a similar reaction (formation of N,O mixed acetal derivative) was observed when adenosine was treated with *p*-nitrobenzaldehyde under similar conditions.<sup>24</sup> It appears therefore that alkoxycarbonium ions (see Scheme I, ref 24) derived from aldehydes carrying an electronegative substituent in the vicinity of aldehyde function are less reactive toward 2',3'-*cis* diol grouping of adenosine than toward a weakly basic amino group of the adenine moiety. Contrariwise, the corresponding dialkoxycarbonium ions derived from analogous ortho esters [e.g., from ethyl *N*-benzyloxycarbonyl-DL-orthophenylalaninate (6a)] react smoothly with the 2' and 3' hydroxy groups of ribonucleosides (cf. compound 11).

### Experimental Section

**General Methods.** Evaporations were carried out in a Büchi rotary evaporator in vacuo at a bath temperature below 40 °C. Melting points were determined on a Thomas-Hoover apparatus (capillary method) and are uncorrected. Analyses were performed by Micro-Tech Laboratories, Inc., Skokie, Ill. Samples for analysis were dried at 10<sup>-3</sup> mm over P<sub>2</sub>O<sub>5</sub> at room temperature. Thin layer chromatography (TLC) was performed on 6 × 2 cm precoated silica gel F-254 aluminum foils (Merck, Darmstadt, Germany) in solvent S<sub>1</sub> (diethyl ether-petroleum ether, 1:1), S<sub>2</sub> (chloroform-methanol, 9:1), S<sub>3</sub> (chloroform-methanol, 4:1), S<sub>4</sub> (CHCl<sub>3</sub>), and S<sub>5</sub> (CHCl<sub>3</sub>-MeOH, 97:3). Preparative TLC and column chromatography were performed with silica gel 70-325 mesh ASTM (Merck, Darmstadt, Germany); for TLC 1% (w/w) fluorescent indicator, Lumilux Grün ZS Super (Riedel-De Haën AG, Seelze-Hannover, Germany) was added. Detection was performed in uv light (Mineralight) or with iodine vapors. Petroleum ether was of a 30-60 °C boiling range. Optical rotations were determined with a Perkin-Elmer Model 141 polarimeter. The ir spectra were measured in CCl<sub>4</sub> in a Perkin-Elmer Model 21 spectrometer. NMR spectra were obtained using a Varian A-60A spectrometer in CCl<sub>4</sub> or CD<sub>3</sub>COCD<sub>3</sub>, unless stated otherwise; (CH<sub>3</sub>)<sub>4</sub>Si was used as an internal standard. Ethanol and DMF were dried with Linde molecular sieves. Tetrahydrofuran (THF) was distilled from LiAlH<sub>4</sub> and stored over sodium wire. *N*-Benzyloxycarbonyl-L-phenylalanine was a product of Sigma Chemical Co., St. Louis, Mo. *N*-Benzyloxycarbonyl-L-phenylalanine *p*-nitrophenyl ester was prepared according to the literature.<sup>12</sup> Hydrocinnamitrile was a product of Eastman Kodak Co., Rochester, N.Y.

**Ethyl Hydrocinnamimidate Hydrochloride (2).** The described procedure<sup>5</sup> was modified as follows. A solution of hydrocinnamitrile [1, freshly distilled from P<sub>2</sub>O<sub>5</sub> immediately before use, bp 98-100 °C (0.1 mm), 23.93 g, 0.182 mol] in ethanol (12.7 ml, 0.22 mol) was cooled in an ice bath and a slow stream of HCl was introduced directly from a tank with stirring to saturation. The resultant thick oil was kept overnight at 0 °C. The white, crystalline product 2 was filtered off after addition of dry ether (ca. 500 ml), and washed with ether and dried in vacuo over P<sub>2</sub>O<sub>5</sub> and KOH in a desiccator: yield 38.41 g (98%); mp 144 °C dec (lit.<sup>5</sup> 130 °C); NMR (CD<sub>3</sub>SOCD<sub>3</sub>, sodium 2,2-dimethyl-2-silapentane-5-sulfonate as an internal standard) δ 7.33 (s, 5, C<sub>6</sub>H<sub>5</sub>), 4.46 (q, 2, OCH<sub>2</sub>), 1.32 (t, 3, CH<sub>3</sub>).

**Ethyl *N*-Chlorohydrocinnamimidate (3).** The procedure described<sup>6</sup> for the preparation of ethyl *N*-chlorophenylacetimidate was followed. To the cooled (5 °C) solution of NaClO freshly made from

NaOH (100 g, 2.5 mol) and chlorine (114.4 g, 1.61 mol) in water (800 ml) compound 2 was added portionwise with stirring (32.1 g, 0.15 mol) at 0-10 °C (ice-salt bath was used). It is imperative to keep the pH of the NaClO solution at 7.0 (pH meter) during the addition of hydrochloride 2 to avoid concomitant hydrolysis to the corresponding ester. The mixture was then stirred for 30 min, petroleum ether (100 ml) was then added, and the layers were separated. The aqueous portion was extracted with petroleum ether, and the combined extracts were dried (MgSO<sub>4</sub>) and evaporated to give 3 as a colorless oil: 31.04 g (98%); *n*<sup>30D</sup> 1.5210; ir no absorption at 1650-1800 (absence of CO ester) and 3100-4000 (absence of NH), 1600 cm<sup>-1</sup> (strong, C=N-Cl, cf. ref 6); NMR (CCl<sub>4</sub>) δ 7.17 (s, 5, C<sub>6</sub>H<sub>5</sub>), 4.09 (q, 2, OCH<sub>2</sub>), 2.84 (s, 4, CH<sub>2</sub>), 1.20 (t, 3, CH<sub>3</sub>).

**Ethyl DL-Orthophenylalaninate (4a) and 2,5-Dibenzyl-3,6-diethoxy-2,5-dihydropyrazine (5).** The procedure<sup>4</sup> for preparation of amino acid ortho esters was extended to the phenylalanine derivative 4a. The solution of compound 3 (21.17 g, 0.1 mol) in ethanol (50 ml) was added dropwise with stirring and external ice cooling to 1.25 M sodium ethylate (freshly prepared from sodium, 2.88 g, 0.125 mol, and 100 ml of ethanol). The solution was stirred for 1.5 h at 30-40 °C (bath temperature, NaCl started to precipitate) and 2 h at 80 °C. The reaction mixture was kept overnight at room temperature, poured into water (250 ml), and extracted with dichloromethane (3 × 100 ml). Combined organic layers were dried (MgSO<sub>4</sub>) and evaporated to give 4a as a yellow, rumlike smelling oil: *n*<sup>25D</sup> 1.4790; 26.46 g (99%); ir no absorption between 1650 and 1800 (absence of CO ester), 1065 cm<sup>-1</sup> (strong, C-O-C); NMR (CCl<sub>4</sub>) δ 7.13 (s, 5, C<sub>6</sub>H<sub>5</sub>), 3.65 (q, 6, OCH<sub>2</sub>), 3.03 (m, 2, CH<sub>2</sub>), 2.35 (q, 1, CH), 1.13 (t, 11, CH<sub>3</sub> overlapped with NH<sub>2</sub>, after addition of D<sub>2</sub>O the triplet became symmetric and it integrated for 9 protons). This product was sufficiently pure (ca. 95%) to be used in subsequent steps (preparation of 6a and 9) without further purification. Distillation of this product at 107-110 °C (0.25 mm) afforded ortho ester 4a in two fractions (5.32 g, 20%). The second fraction (*n*<sup>27D</sup> 1.4813) was analyzed.

Anal. Calcd for C<sub>15</sub>H<sub>25</sub>NO<sub>3</sub> (267.4): C, 67.38; H, 9.43; N, 5.24. Found: C, 67.30; H, 9.27; N, 5.48.

Continued distillation afforded an additional fraction (2.1 g) of 4a contaminated, according to ir, with 5. The last fraction (thick syrup, *n*<sup>25D</sup> 1.5469), which was analyzed, was dissolved in petroleum ether and the solution cooled to -20 °C to give 5 (0.975 g, 5.6%): mp<sup>25</sup> 40-100 °C; ir 1703 cm<sup>-1</sup> (C=N); NMR (CCl<sub>4</sub>) δ 7.05 (m, 10, C<sub>6</sub>H<sub>5</sub>), 4.07 (m, 4, CH<sub>2</sub> of C<sub>2</sub>H<sub>5</sub>O), 1.23 (m, 6, CH<sub>3</sub> of C<sub>2</sub>H<sub>5</sub>O).<sup>25</sup>

Anal. Calcd for C<sub>22</sub>H<sub>26</sub>N<sub>2</sub>O<sub>2</sub>·½H<sub>2</sub>O (355.0): C, 74.44; H, 7.53; N, 7.89. Found: C, 74.50; H, 7.35; N, 8.15.

**Ethyl *N*-Benzyloxycarbonyl-DL-orthophenylalaninate (6a).** A solution of benzyloxycarbonyl chloride (7.5 g, 0.044 mol) in dry ether (200 ml) was added dropwise with stirring and external ice cooling to a mixture of ortho ester 4a (10.68 g, 0.04 mol), triethylamine (12 ml, 0.12 mol), and dry ether (200 ml) during 40 min. The stirring continued for 1 h at 0 °C and after addition of ethanol (10 ml) for 30 min at room temperature. The precipitate (triethylamine hydrochloride) was filtered off and washed with ether and the filtrate was extracted with water (150 ml). Dried (MgSO<sub>4</sub>) ether layer was evaporated and the resultant solid crystallized from petroleum ether (40 ml) at 0 °C to give 10.49 g (66%) of ortho ester derivative 6: mp 77-79 °C; ir 3500 (NH), 1735 (CO, urethane), 1512, 1520 (amide II band of urethane plus aromatics), 1058 cm<sup>-1</sup> (C-O-C); NMR (CCl<sub>4</sub>) δ 7.15 (2 s, 10, C<sub>6</sub>H<sub>5</sub>), 4.9 (s, 2, CH<sub>2</sub> of C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>O), 3.65 (d of q, 6, CH<sub>2</sub> of C<sub>2</sub>H<sub>5</sub>O), 2.8 (m, 2, CH<sub>2</sub>), 1.13 (t, 9, CH<sub>3</sub> of C<sub>2</sub>H<sub>5</sub>O).

Anal. Calcd for C<sub>23</sub>H<sub>31</sub>NO<sub>5</sub> (401.5): C, 68.80; H, 7.78; N, 3.49. Found: C, 68.98; H, 7.90; N, 3.48.

**Ethyl *N*-Benzyloxycarbonylorthoglycinate (6b).** The procedure described for ortho ester 6a was followed starting from ethyl orthoglycinate<sup>4</sup> 4b (12.63 g, 0.071 mol) to give, after crystallization from petroleum ether, the *N*-benzyloxycarbonyl derivative 6b (11.82 g, 38%), mp 35-40 °C (lit.<sup>2a</sup> 38-40 °C), which was identical with an authentic specimen:<sup>2a</sup> NMR (CCl<sub>4</sub>) δ 7.19 (s, 5, C<sub>6</sub>H<sub>5</sub>), 4.98 (d, 2, CH<sub>2</sub> of C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>O), 3.50 (q, 6, CH<sub>2</sub> of C<sub>2</sub>H<sub>5</sub>O, partially overlapped with CH<sub>2</sub> of the glycine portion), 3.32 (d, 2, CH<sub>2</sub> of glycine, partially overlapped with CH<sub>2</sub> of C<sub>2</sub>H<sub>5</sub>O).

**Ethyl *N*-Benzyloxycarbonyl-DL-phenylalaninate (7).** A solution of ortho ester 6a (0.2 g, 0.5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (20 ml) was stirred with 10% HCl (10 ml) for 30 min at room temperature. The layers were then separated, and the CH<sub>2</sub>Cl<sub>2</sub> portion was washed with water (2 × 10 ml) and saturated solution of NaHCO<sub>3</sub> (10 ml). The dried (MgSO<sub>4</sub>) organic phase was evaporated to a syrup which was dissolved in ether (5 ml). Petroleum ether (5 ml) was added and the mixture containing a syrupy precipitate was kept overnight at -20 °C whereupon it crystallized. Evaporation in vacuo gave crystalline ester 7: mp 77-79 °C (lit.<sup>11</sup> 77-79 °C); mixture melting point with ortho ester 6a was 65

°C; 0.13 g (80%); NMR (CCl<sub>4</sub>)  $\delta$  7.25 and 7.14 (2 s, 10, C<sub>6</sub>H<sub>5</sub>), 5.03 (s, 2, CH<sub>2</sub> of C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>O), 4.53 (m, 1, CH), 4.09 (q, 2, CH<sub>2</sub> of C<sub>2</sub>H<sub>5</sub>O), 1.17 (t, 3, CH<sub>3</sub> of C<sub>2</sub>H<sub>5</sub>O).

**Ethyl *N*-Benzyloxycarbonyl-L-phenylalanyl-DL-ortho-phenylalaninate (9).** The cooled solution of ortho ester **4a** (0.536 g, 2 mmol) and triethylamine (0.202 g, 2 mmol) in dichloromethane (10 ml) was treated with *p*-nitrophenyl ester<sup>12</sup> **8** (0.925 g, 2.2 mmol) in dichloromethane (10 ml). The reaction mixture was kept for 5 h at room temperature, whereupon it was extracted with a saturated solution of NaHCO<sub>3</sub> (3 × 10 ml) and NH<sub>4</sub>OH (dilute 1:1, 3 × 10 ml). The organic layer was dried (MgSO<sub>4</sub>) and evaporated to give a solid which as filtered after addition of ethanol: 0.69 g (63%), homogeneous on TLC (S<sub>1</sub>); mp 114–118 °C was unchanged after recrystallization from cyclohexane;  $[\alpha]^{24}_D -27.4^\circ$  (c 0.5, acetone); ir (KBr) 3370 (NH), 1705 (CO, urethane), 1660 (CO, amide), 1553, 1543 cm<sup>-1</sup> (amide II bands of urethane and peptide bond and aromatics); NMR (CD<sub>3</sub>COCD<sub>3</sub>)  $\delta$  ca. 7.22 (m, 15, C<sub>6</sub>H<sub>5</sub>), 4.95 (s, 2, CH<sub>2</sub> of C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>O), 3.63 (poorly resolved d of q, 6, CH<sub>2</sub> of C<sub>2</sub>H<sub>5</sub>O), 1.08 (t, 9, CH<sub>3</sub>).

Anal. Calcd for C<sub>32</sub>H<sub>40</sub>N<sub>2</sub>O<sub>6</sub> (548.7): C, 70.05; H, 7.35; N, 5.11. Found: C, 70.07; H, 7.38; N, 5.13.

**Ethyl *N*-Benzyloxycarbonyl-L-phenylalanyl-DL-phenylalaninate (10).** A solution of dipeptide ortho ester **9** (70 mg, 0.13 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 ml) was stirred with 10% HCl (10 ml) for 30 min at room temperature. The layers were separated, the aqueous one was extracted with CH<sub>2</sub>Cl<sub>2</sub> (10 ml), and the combined organic portions were washed with a saturated solution of NaHCO<sub>3</sub> (10 ml) and water (10 ml). The dried (MgSO<sub>4</sub>) solution was evaporated, leaving a white solid **10**, mp 100–103 °C, TLC (S<sub>1</sub>) homogeneous and different (slower) from ortho ester **9**. Crystallization from benzene–cyclohexane (2:1) gave 25 mg (40%) of material; mp 128–130 °C;  $[\alpha]^{24}_D +1.75^\circ$  (c 0.91, ethyl acetate); NMR (CD<sub>3</sub>COCD<sub>3</sub>)  $\delta$  7.27 and 7.20 (2 s, 15, C<sub>6</sub>H<sub>5</sub>), 4.98 (s, 2, CH<sub>2</sub> of C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>O), 4.55 (m, 2, CH), 4.09 (q, 2, CH<sub>2</sub> of C<sub>2</sub>H<sub>5</sub>O), 1.31 (t, 3, CH<sub>3</sub> of C<sub>2</sub>H<sub>5</sub>O).

**Reaction of Uridine with Ortho Ester 6a.** A solution of uridine (0.12 g, 0.5 mmol), ortho ester **6a** (0.4 g, 1 mmol), and CF<sub>3</sub>COOH (5 drops) in DMF (5 ml) was kept for 15 h at room temperature. Triethylamine (0.5 ml) was then added, the solution was evaporated at 0.1 mm, and the residue was partitioned between CH<sub>2</sub>Cl<sub>2</sub> (20 ml) and saturated NaHCO<sub>3</sub> (10 ml). The aqueous layer was extracted with CH<sub>2</sub>Cl<sub>2</sub> (10 ml), and the combined organic portions were dried (MgSO<sub>4</sub>) and evaporated. The syrupy residue was chromatographed on one 20 × 20 cm 2-mm thick layer of Stahl's silica gel GF-254 in solvent S<sub>2</sub> (0.1 ml of triethylamine/100 ml). The major slower moving uv-absorbing band was eluted with the 4:1 mixture of the same solvents and the eluate was evaporated to give a syrup. Trituration with ether–petroleum ether afforded 2',3'-cyclic ortho ester **11** as an amorphous solid, 0.14 g (51%), homogeneous on TLC (S<sub>2</sub>, S<sub>3</sub>), uv max (ethanol) 260 nm ( $\epsilon$  8700), min 230 ( $\epsilon$  1800).

Anal. Calcd for C<sub>22</sub>H<sub>31</sub>N<sub>3</sub>O<sub>9</sub> (553.6): C, 60.75; H, 5.65; N, 7.59. Found: C, 60.61; H, 5.90; N, 7.33.

**2'(3')-O-(*N*-Benzyloxycarbonyl-DL-phenylalanyl)uridine (12).** A solution of 2',3'-cyclic ortho ester **11** (83 mg, 0.15 mmol) in dioxane–acetic acid–water (2:3:3) mixture (4 ml) was kept for 22 h at room temperature. The solution was then lyophilized, the residue dissolved in CHCl<sub>3</sub>, and ether–petroleum ether added to precipitate **12** as an amorphous powder, 77 mg (95%); the mobility on TLC (S<sub>2</sub>, S<sub>3</sub>) was identical with that of an authentic sample<sup>3a</sup> of the corresponding L-phenylalanyl derivative.

***N*-Benzyloxycarbonyl-L-phenylalaninal (16) and *N*-Benzyloxycarbonyl-L-phenylalaninol (19).** A solution of *N*-benzyloxycarbonyl-L-phenylalanine (15, 7 g, 0.023 mol) in tetrahydrofuran (45 ml) was cooled to 10 °C and *N,N'*-carbonyldiimidazole (4 g, 0.025 mol) was added. The resulting mixture was stirred for 20 min at 10 °C, then cooled to –20 °C and a solution of LiAlH<sub>4</sub> (1.9 g, 0.050 mol) in tetrahydrofuran (80 ml) was added dropwise over a period of 30 min. The stirring of the reaction mixture then continued for 15 min at –20 °C whereupon 2 M HCl (80 ml) was added. Evaporation in vacuo gave a solid which was extracted with chloroform (total 350 ml). The combined extracts were washed with water (100 ml), dried (MgSO<sub>4</sub>), and evaporated. The residue was dissolved in chloroform (10 ml) and the solution was put on the top of the column made from silica gel (120 g, 3 × 30 cm). Elution with chloroform (250 ml) after evaporation gave a crystalline solid which was crystallized from ether–petroleum ether (1:2) to afford aldehyde **16** (3.3 g, 50%): mp 76–77 °C;  $[\alpha]^{22}_D -1.8^\circ$  (c 0.45, CHCl<sub>3</sub>);<sup>19</sup> ir (KBr) 3370 (NH), 1736 (CO, aldehyde), 1683 cm<sup>-1</sup> (CO, urethane); NMR (CDCl<sub>3</sub>)  $\delta$  9.35 (s, 1, CH=O), 7.31–6.94 (m, 10, C<sub>6</sub>H<sub>5</sub>), 5.03 (s, 2, CH<sub>2</sub> of benzyloxycarbonyl), 4.37 (q, 1, CH), 3.04 (d, 2, CH<sub>2</sub>).

Anal. Calcd for C<sub>17</sub>H<sub>17</sub>NO<sub>3</sub> (283.3): C, 72.07; H, 6.05; N, 4.94. Found: C, 71.91; H, 6.08; N, 4.87.

Further elution with chloroform (100 ml) gave *N*-benzyloxycarbonyl-L-phenylalaninol (**19**, 1.53 g, 23%), mp 92–92.5 °C (lit.<sup>17,18</sup> 90–92 and 90 °C, respectively),  $[\alpha]^{22}_D -45.9^\circ$  [lit.<sup>17</sup> –41.5° (c 1.4, ethanol)],  $[\alpha]^{22}_D -44.6^\circ$  [lit.<sup>18</sup> –42° (c 2.0 methanol)].

The reduction of aldehyde **16** in a standard fashion (see above) with LiAlH<sub>4</sub> gave alcohol **19**, mp 76–77 °C,  $[\alpha]^{22}_D -3.4^\circ$  (c 1.4, ethanol), ir corresponded to that of optically pure **19**.

***N*-Benzyloxycarbonyl-L-phenylalaninal Dimethyl Acetal (17).** Aldehyde **16** (0.4 g, 1.4 mmol) was added portionwise to a mixture of dimethylformamide dimethyl sulfate complex<sup>28</sup> (0.31 g, 1.55 mmol) and anhydrous methanol (65 mg, 2 mmol) at room temperature with stirring which then continued for 2.5 h. The mixture was kept overnight, and the crystallized product was washed with petroleum ether and recrystallized from petroleum ether–ether (1:1) to give acetal **17** (0.28 g, 60%); mp 58–60 °C;  $[\alpha]^{22}_D -8.4^\circ$  (c 1.22, CHCl<sub>3</sub>); ir (KBr) 3350 cm<sup>-1</sup> (NH), 1690 (CO, urethane), 1550 (amide II band, urethane); NMR (CDCl<sub>3</sub>)  $\delta$  7.25 and 7.16 (two s, 10, C<sub>6</sub>H<sub>5</sub>), 5.00 (s, 2, CH<sub>2</sub> of benzyloxycarbonyl), 4.08 (m, 2, CH), 3.41 and 3.35 (two s, 6, OCH<sub>3</sub>), 2.85 (m, 2, CH<sub>2</sub>).

Anal. Calcd for C<sub>19</sub>H<sub>23</sub>NO<sub>4</sub> (329.4): C, 69.28; H, 7.04; N, 4.25. Found: C, 69.20; H, 7.10; N, 4.17.

**Reaction of Adenosine, Aldehyde 16, and Ethyl Orthoformate.** A solution of aldehyde **16** (0.4 g, 1.4 mmol), ethyl orthoformate (0.46 g, 3.1 mmol), adenosine (0.376 g, 1.4 mmol), and trifluoroacetic acid (0.24 g, 2.1 mmol) in DMF (10 ml) was kept at room temperature for 39 h. Triethylamine was then added to adjust pH to 8–9 (indicator paper) and the volatile components were evaporated at 0.1 mm and room temperature. The residue was chromatographed on two loose layers of silica gel (35 × 15 cm, 3 mm thick) in solvent S<sub>5</sub> containing 0.2% of triethylamine (double development). The faster moving band was eluted with the solvent and evaporated to give amorphous product **18b** (0.185 g, 21%); TLC (S<sub>2</sub>) homogeneous; uv max (ethanol) 265 nm ( $\epsilon$  16 500), min 228 (2100); NMR (CD<sub>3</sub>COCD<sub>3</sub> + D<sub>2</sub>O)  $\delta$  8.15 (s, 2, H<sub>8</sub> + H<sub>2</sub>), 7.13 (m, 10, C<sub>6</sub>H<sub>5</sub>), 6.35 (d, 1, *J* = 3.5 Hz, H<sub>1</sub>), 1.10 and 1.42 (two t, CH<sub>3</sub> of C<sub>2</sub>H<sub>5</sub>O).

Anal. Calcd for C<sub>32</sub>H<sub>33</sub>N<sub>6</sub>O<sub>8</sub> (634.7): C, 60.55; H, 6.04; N, 13.24. Found: C, 60.41; H, 6.17; N, 13.13.

Elution of the slower moving band with solvent S<sub>2</sub> containing 0.2% of triethylamine gave 2',3'-*O*-ethoxymethyleneadenosine (**18a**, 0.33 g, 73%) identical with an authentic sample<sup>22</sup> (TLC, uv, and melting point).

**Attempted Reaction of 18b with Dimethylformamide Dimethyl Acetal.** A solution of compound **18b** (2 mg) and dimethylformamide dimethyl acetal (0.05 ml) in DMF (0.2 ml) was kept for 16 h at room temperature.<sup>23</sup> After evaporation the uv spectrum of the residue (ethanol) was identical with that of starting material **18b**.

**Hydrolysis of Compound 18b.** A solution of **18b** (5 mg) in 80% formic acid (0.5 ml) was kept at room temperature for 30 min. A sample of the mixture was chromatographed (TLC) in solvents S<sub>4</sub> and S<sub>5</sub> along with authentic samples of **18b**, adenosine 2'(3')-*O*-formate prepared in situ from **18a** by the same procedure,<sup>24</sup> and aldehyde **16**.

**Acknowledgments.** Thanks are due to Dr. H. L. Chung and Messrs. N. Cvetkov, D. Marks, and J. Owens for spectral measurements and optical rotations. The authors are also grateful to Miss U. Mazur for the preparation of ethyl *N*-benzyloxycarbonylorthoglycinate (**6b**).

**Registry No.**—1, 645-59-0; 2, 52353-64-7; 3, 59830-53-4; 4a, 59830-54-5; 4b, 24595-61-7; 5, 59830-55-6; 6a, 59830-56-7; 6b, 13347-35-8; 7, 3588-57-6; 8, 2578-86-1; 9, 59830-57-8; 10, 59830-58-9; 11, 59830-59-0; 15, 1161-13-3; 16, 59830-60-3; 17, 59830-61-4; 18b, 59830-62-5; NaClO, 7681-52-9; sodium ethylate, 141-52-6; benzyloxycarbonyl chloride, 501-53-1; uridine, 58-96-8; *N,N'*-carbonyldiimidazole, 530-62-1; dimethylformamide, 68-12-2; adenosine, 58-61-7; ethyl orthoformate, 122-51-0.

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### Orientation of the Nitrogen Lone-Pair Electrons in Cannivonine

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Received November 5, 1975

The structure of cannivonine b (**1**) has been reasonably established using  $^1\text{H}$  NMR spectra recorded in the presence of shift reagents.<sup>1–3</sup> The cannivonine b is a tricyclic alkaloid having a 1-cyclohexen-3-ol ring fixed on the azabicyclo[2.2.2]octane skeleton. Such a tricyclic compound can undergo syn-anti equilibration (Scheme I).

In the presence of the shift reagents, syn oriented nitrogen lone-pair electrons contribute to the formation of the eight-coordinate two donor atoms complex that involves O and N.<sup>3</sup> Recently Morishima and Yoshikawa<sup>4</sup> have found that the nitrogen lone pair of *N*-methyl-2-azabicyclo[2.2.2]oct-5-ene (and its dihydro derivative) is oriented in an anti position.

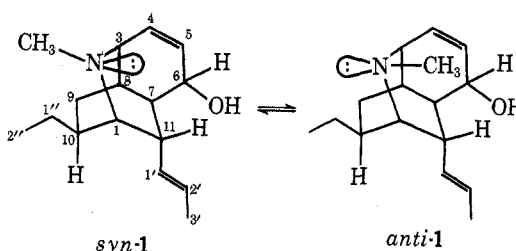
The NMR spectra,  $^1\text{H}$  and  $^{13}\text{C}$ , recorded in the presence of nickel bisacetylacetonate do not show the orientation of the

Table I. Ni(acac)<sub>2</sub> Induced  $^{13}\text{C}$  Contact Shifts for **1**<sup>a</sup>

C	$\delta_C$	Relative induced shift
1	52.17	+1.00
NCH <sub>3</sub>	43.81	+1.62
3	56.24	+1.40
4	129.73	-0.52
5	131.34	-0.44
6	65.51	+0.84
7	25.40	+0.40
8	26.87	-0.33
9	25.65	+0.02
10	24.92	-1.38
11	25.17	-0.41
1'	130.71	+0.08
2'	121.37	-0.17
3'	13.41	+0.14
1''	25.02	+0.08
2''	12.10	0.00

<sup>a</sup> Identification from off-resonance  $^1\text{H}$ ; see Experimental Section for details of calculations.

Scheme I

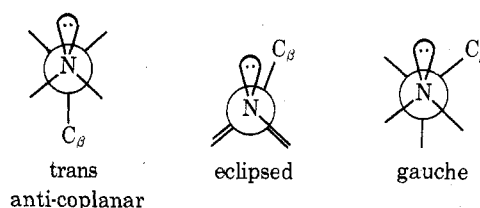


metal relative to the double bond. The CNDO-MO calculations, carried out by the same authors, confirmed the preferential anti position of the nitrogen lone pair.<sup>5,6</sup> However, for cannivonine b, acetylacetonate can easily lie between the nitrogen and oxygen atoms and force the nitrogen lone pair into syn orientation (endo using Morishima nomenclature).

The syn orientation of the nitrogen lone pair is deduced from the  $^{13}\text{C}$  NMR spectra of cannivonine (Table I).

The acetylacetonate relative induced shift of  $\beta$  carbons, with respect to the lone pair, is bigger if the lone pair is oriented trans (anti-coplanar) to this carbon. However, the gauche or eclipsed orientation shows a rather small contact shift (Scheme II). There are four carbons atoms  $\beta$  to the nitrogen

Scheme II



lone pair (C-4, C-8, C-10, and C-11) and two  $\beta$  to the oxygen lone pairs (C-5 and C-7). Thus, the large C-10 relative induced shift of  $-1.38$  is now understandable compared with the C-11, C-8, or C-4 induced shifts.

The oxygen atom has lone pairs oriented in such a manner that at the same time they are trans and eclipsed to C-5 and C-7. As a result, an average ( $\sim 0.4$ ) relative induced shift is observed.<sup>7</sup>

Finally, examination of steric repulsion in the 1-syn and 1-anti conformers shows that the syn conformer is effectively more stable. The interaction of 10-ethyl-NCH<sub>3</sub> and H-9 $\beta$ -NCH<sub>3</sub> in the syn conformer is smaller than the total interac-

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